



# Sundram Fasteners Limited

Email: investorhelpdesk@sfl.co.in

REGISTERED & CORPORATE OFFICE  
98-A, VII FLOOR  
DR. RADHAKRISHNAN SALAI,  
MYLAPORE, CHENNAI - 600 004, INDIA  
TELEPHONE : +91 - 44 - 28478500  
PAN : AAACS8779D  
CIN : L35999TN1962PLC004943  
WEBSITE : www.sundram.com

October 20, 2022

## National Stock Exchange of India Limited

*Scrip Code - SUNDRMFAST*  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. C/1, G Block, Bandra-Kurla Complex,  
Bandra (East),  
Mumbai - 400 051

By E-Mail

## BSE Limited

*Scrip Code - 500403*  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai - 400 001

By Listing Centre

Dear Sir / Madam,

### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, G Anand Babu, Compliance Officer, have examined the following compliance requirement of Sundram Fasteners Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

S. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	-
2.	Whether control exists as to who can access the SDD for read / write alongwith the names and PAN of such person?	Yes	-
3.	Whether all the UPSI had been captured in the Database. If not, details of the events that had not been captured and the reason for the same?	Yes	-
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not, details of events that have not been captured and the reason for the same?	Yes	As per Regulation 3(5), names of such persons who have shared the information and also the names of such persons with whom information is shared has been captured in the database.
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	-
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	-
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	-





S. No	Compliance Requirement	Yes/No	Observation/ Remark
8.	Whether the database has been maintained internally?	Yes	-
9.	Whether audit trail is maintained?	Yes	-
10.	Whether time stamping is maintained?	Yes	-
11.	Whether the database is non-tamperable?	Yes	-
12.	Any other measures to ensure non-tamperability of the Database?	Yes	1. The database can be accessed only by select authorised persons. 2. The access is restricted with user id and password.

The number of days for which non-compliance was observed: Nil

Further, I also confirm that the Company was required to capture 1 (one) number of event during the quarter ended September 30, 2022 and has captured 1 (one) number of the said required event.

For SUNDARAM FASTENERS LIMITED

**G Anand Babu**  
Manager-Finance & Company Secretary

Place: Chennai

